## A Formally Verified Interpreter for a Shell-like Programming Language

Claude Marché Nicolas Jeannerod Ralf Treinen

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Vals seminar, July 7, 2017

## The CoLiS project

- Correctness of Linux Scripts
- ANR project, 5 years (October 2015 - September 2020)
- Three workpackages:
- IRIF, Université Paris-Diderot
- Inria Saclay
- Inria Lille
- Goal: apply verification techniques to Debian maintainer scripts. Those are POSIX Shell scripts:
- used for installation, upgrade, removal of packages
- ran as root user
- mistakes are easy to make and hard to detect
- We are not trying to replace the Shell.


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1. Language

- Elements of Shell
- CoLiS
- Mechanised version

2. A sound interpreter

- Why?
- Let us see some code
- Proof
- An other sound interpreter

3. A complete interpreter

- Which formulation?
- Heights and sizes
- Skeletons


## Execute arbitrary strings

Execute commands from strings:

$$
\begin{aligned}
& \mathrm{a}=\text { "echo foo" \#\# echoes "foo" } \\
& \text { \$a }
\end{aligned}
$$

## or any code with eval:

ov2l

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& \$ \mathrm{a}
\end{aligned}
$$

or any code with eval:

```
eval "if true; then echo foo; fi"
```


## Dynamic

Everything is dynamic:

```
f () \{ g; \}
g () \{ a=bar; \}
\(a=f \circ \circ\)
f
echo \$a
\#\# echoes "bar"
```

Example 2-in-1 (expansion and dynamic scoping):

$a=\mathrm{f} 00$
a=bar
echo \$a
\#\# echoes "foo bar"

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f () \{ g; \}
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f () \{ echo \$1 \$a; \}
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f () \{ echo \$1 \$a; \}
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a=bar f \$a \#\# echoes "foo bar"
echo \$a \#\# echoes "bar"

## Behaviours

Nice falses and the violent one:

```
set -e
! true ; echo foo \#\# echoes "foo"
false
    echo foo
```

ways to catch "exit" and "return"
(exit)
( return )
exit | true
echo
exit

## Behaviours

Nice falses and the violent one:

```
set -e
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Many ways to catch "exit" and "return"

```
(exit )
(return )
exit | true
echo
```

exit

## Behaviours

Nice falses and the violent one:
set -e
! true ; echo foo \#\# echoes "foo"
false ; echo foo \#\# exits

Many ways to catch "exit" and "return":
( exit )
( return )
exit | true
echo "still not dead"
exit

## How behaviours are handled

|  | 0 | $\underset{\hat{\nu}^{\prime}}{\hat{\nu}} \underset{\hat{\nu}^{*}}{*}$ | $\underbrace{\text { E }}$ | $\underset{e_{i}^{*}}{\substack{\hat{v}}}$ | *o |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Pipe | Normal |  |  |  |  |  |
| Sequence | Normal | Exception |  |  |  |  |
| Test | True | False | Exception |  |  |  |
| Function call | Success | Failure | Success | Failure | Exception |  |
| Subprocess | Success | Failure | Success | Failure | Success | Failure |

## The expansion mechanism

Used to represent both strings and lists of strings:

$$
\begin{aligned}
& \operatorname{args}="-1-a " \\
& \operatorname{args}=" \$ \operatorname{args}-\mathrm{h} "
\end{aligned}
$$

path=/home
path=\$path/nicolas

Can contain all sorts of things:
echo foo (echo "\$bar"baz)"\$bar"

## The expansion mechanism

Used to represent both strings and lists of strings:

$$
\begin{aligned}
& \operatorname{args}="-1-a^{\prime} \\
& \operatorname{args}=" \$ \operatorname{args}-\mathrm{h} " \\
& \text { path }=/ \text { home } \\
& \text { path }=\$ p a t h / n i c o l a s
\end{aligned}
$$

Can contain all sorts of things:
echo foo \$(echo
"\$bar"baz)"\$bar"

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Used to represent both strings and lists of strings:

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& \operatorname{args}="-1-a " \\
& \operatorname{args}=" \$ \operatorname{args}-\mathrm{h} " \\
& \text { path }=/ \text { home } \\
& \text { path=\$path/nicolas } \\
& \text { ls \$args \$path }
\end{aligned}
$$

## Can contain all sorts of things:

 echo foo (echo
## The expansion mechanism

Used to represent both strings and lists of strings:

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\begin{aligned}
& \operatorname{args}="-1-a " \\
& \operatorname{args}=" \$ \operatorname{args}-\mathrm{h} " \\
& \text { path }=/ \text { home } \\
& \text { path }=\text { \$path/nicolas } \\
& \text { ls \$args \$path }
\end{aligned}
$$

Can contain all sorts of things:

```
echo foo$(echo "$bar"baz)"$bar"
```


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- Heights and sizes
- Skeletons


## Requirements

- Intermediary language (not a replacement of Shell);
- "Cleaner" than Shell (no eval for instance);
- Well-defined and easily understandable semantics:
- Some typing (strings vs. string lists),
- Variables and functions declared in a header,
- Dangers made more explicit;
- "Close enough" to Shell:
- A reader must be convinced that it shares the same semantics as the Shell,
- Target of an automated translation from Shell.


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## Syntax - 1

| String variables | $\chi_{s}$ | $\epsilon$ | SVar |  |
| :---: | :---: | :---: | :---: | :---: |
| List variables | $x_{1}$ | $\epsilon$ | LVar |  |
| Procedures names | c | $\epsilon$ | $\mathcal{F}$ |  |
| Programs | $p$ | = | vdec | program $t$ |
| Variables decl. | vdecl |  | varst | varlist $x_{l}$ |
| Procedures decl. | pdecl | $=$ | proc |  |

## Syntax - 2

$$
\begin{aligned}
\text { Terms } t::= & \text { true } \mid \text { false } \mid \text { fatal } \\
& \mid \text { return } t \mid \text { exit } t \\
& \left|x_{s}:=s\right| x_{I}:=\mid \\
& |t ; t| \text { if } t \text { then } t \text { else } t \\
& \mid \text { for } x_{s} \text { in } / \text { do } t \mid \text { while } t \text { do } t \\
& \mid \text { process } t \mid \text { pipe } t \text { into } t \\
& \mid \text { call } \mid \quad \text { shift }
\end{aligned}
$$

## Syntax - 3

String expressions $s::=$ nil $_{s} \mid f_{s}:: s$
String fragments $\quad f_{s}::=\sigma\left|x_{s}\right| n \mid t$
List expressions | $::=$ nil/ $\mid f_{l}:: /$
List fragments $\quad f_{l}::=s \mid$ split $s \mid x_{l}$

## Semantics - First definitions

Behaviours: terms
$b \in\{$ True, False, Fatal, Return True Return False, Exit True, Exit False\}

Behaviours: expressions $\beta \in\{$ True, Fatal, None $\}$

Environments: strings
Environmente: lists

SEnv $\triangleq[S V a r ~ \rightharpoonup$ String $]$
IFnv $\triangleq[$ IV/ar $\rightharpoonup$ Stringl ist $]$
$\mathcal{F} \mathcal{S} \times$ String $\times$ StringList
$\times$ SEnv $\times$ LEnv

In a context: file system, standard input, arguments line, string environment, list environment.

## Semantics - First definitions

Behaviours: terms

$$
\begin{aligned}
b \in \quad & \{\text { True, False, Fatal, Return True } \\
& \text { Return False, Exit True, Exit False }\}
\end{aligned}
$$

Behaviours: expressions $\beta \in\{$ True, Fatal, None $\}$
Environments: strings

$$
\begin{aligned}
& \text { SEnv } \triangleq[S V a r ~ \\
& \text { String }] \\
& \text { LEnv } \triangleq[\text { LVar } \rightharpoonup \text { StringList }] \\
\Gamma \in & \mathcal{F S} \times \text { String } \times \text { StringList } \\
& \times \text { SEnv } \times \text { LEnv }
\end{aligned}
$$

In a context: file system, standard input, arguments line, string environment, list environment.

## Semantic judgments

Judgments: terms

$$
t_{/ \Gamma} \quad \Downarrow \quad \sigma \star b_{/ \Gamma^{\prime}}
$$

Judgments: string fragment

$$
f_{s / \Gamma} \quad \psi_{s f} \quad \sigma \star \beta / \Gamma^{\prime}
$$

Judgments: string expression

Judgments: list fragment
Judgments: list expression

## A few rules - Sequence

SEQUENCE-Normal

$$
\frac{t_{1 / \Gamma} \Downarrow \sigma_{1} \star b_{1 / \Gamma_{1}} \quad b_{1} \in\{\text { True, False }\} \quad t_{2 / \Gamma_{1}} \Downarrow \sigma_{2} \star b_{2 / \Gamma_{2}}}{\left(t_{1} ; t_{2}\right)_{/ \Gamma} \Downarrow \sigma_{1} \sigma_{2} \star b_{2 / \Gamma_{2}}}
$$



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\frac{t_{1 / \Gamma} \Downarrow \sigma_{1} \star b_{1 / \Gamma_{1}} \quad b_{1} \in\{\text { True, False }\} \quad t_{2 / \Gamma_{1}} \Downarrow \sigma_{2} \star b_{2 / \Gamma_{2}}}{\left(t_{1} ; t_{2}\right)_{/ \Gamma} \Downarrow \sigma_{1} \sigma_{2} \star b_{2 / \Gamma_{2}}}
$$

$$
\begin{aligned}
& \text { SEQUENCE-EXCEPTION } \\
& \left.\frac{t_{1 / \Gamma \Downarrow} \Downarrow \sigma_{1} \star b_{1 / \Gamma_{1}} \quad b_{1} \in\{\text { Fatal, Return }}{-} \text {, Exit }\right\} \\
& \left(t_{1} ; t_{2}\right)_{/ \Gamma} \Downarrow \sigma_{1} \star b_{1 / \Gamma_{1}}
\end{aligned}
$$

## A few rules - Branching

Branching-True
$\frac{t_{1 / \Gamma} \Downarrow \sigma_{1} \star b_{1 / \Gamma_{1}} \quad b_{1}=\text { True } \quad t_{2 / \Gamma_{2}} \Downarrow \sigma_{2} \star b_{2 / \Gamma_{2}}}{\left(\text { if } t_{1} \text { then } t_{2} \text { else } t_{3}\right)_{/ \Gamma \Downarrow} \Downarrow \sigma_{1} \sigma_{2} \star b_{2 / \Gamma_{2}}}$




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(if $t_{1}$ then $t_{2}$ else $\left.t_{3}\right)_{/ \Gamma} \Downarrow \sigma_{1} \sigma_{2} \star b_{2 / \Gamma_{2}}$

BRANCHING-FALSE
$t_{1 / \Gamma} \Downarrow \sigma_{1} \star b_{1 / \Gamma_{1}} \quad b_{1} \in\{$ False, Fatal $\} \quad t_{3 / \Gamma_{3}} \Downarrow \sigma_{3} \star b_{3 / \Gamma_{3}}$
(if $t_{1}$ then $t_{2}$ else $\left.t_{3}\right)_{/ \Gamma} \Downarrow \sigma_{1} \sigma_{3} \star b_{3 / \Gamma_{3}}$

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(if $t_{1}$ then $t_{2}$ else $\left.t_{3}\right)_{/ \Gamma \Downarrow} \sigma_{1} \sigma_{2} \star b_{2 / \Gamma_{2}}$

$$
\begin{aligned}
& \text { BRANCHING-FALSE } \\
& \frac{t_{1 / \Gamma \Downarrow} \Downarrow \sigma_{1} \star b_{1 / \Gamma_{1}} \quad b_{1} \in\{\text { False, Fatal }\} \quad t_{3 / \Gamma_{3} \Downarrow \sigma_{3} \star b_{3 / \Gamma_{3}}}^{\left(\text {if } t_{1} \text { then } t_{2} \text { else } t_{3}\right) / \Gamma \Downarrow \sigma_{1} \sigma_{3} \star b_{3 / \Gamma_{3}}}}{l} l
\end{aligned}
$$

BRANCHING-ExCEPTION

$$
t_{1 / \Gamma \Downarrow} \sigma_{1} \star b_{1 / \Gamma_{1}} \quad b_{1} \in\left\{\text { Return }_{-}, \text {Exit }{ }_{-}\right\}
$$

(if $t_{1}$ then $t_{2}$ else $\left.t_{3}\right)_{/ \Gamma \Downarrow} \sigma_{1} \star b_{1 / \Gamma_{1}}$

## How behaviours are handled

|  | 人ジ |  |  |  | ぐざき |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Pipe | Normal |  |  |  |  |  |
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| Function call | Success | Failure | Success | Failure | Exception |  |
| Subprocess | Success | Failure | Success | Failure | Success | Failure |

## A few rules - Mutual recursion

Terms depend on string expressions:
Assignment-String

$$
\frac{s / \Gamma \Downarrow_{s} \sigma \star \beta / \Gamma^{\prime}}{x:=s / \Gamma \Downarrow^{\prime " \prime} \star \beta_{/ \Gamma^{\prime}\left[\operatorname{senv}=\Gamma^{\prime} \cdot \operatorname{senv}[x \leftarrow \sigma]\right]}}
$$

## and string fragments depend on terms:


$\bar{b}:=$ True if $b \in\{$ True, Return True, Exit True $\}$
Fatal otherwise

## A few rules - Mutual recursion

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Assignment-String
$\frac{s / \Gamma \Downarrow_{s} \sigma \star \beta / \Gamma^{\prime}}{x:=s / \Gamma \Downarrow^{\prime \prime \prime \prime} \star \beta^{\prime} / \Gamma^{\prime}\left[\operatorname{senv}=\Gamma^{\prime} \cdot \operatorname{senv}[x \leftarrow \sigma]\right]}$
and string fragments depend on terms:
String-Subprocess

$$
\frac{t_{/ \Gamma} \Downarrow \sigma \star b_{/ \Gamma^{\prime}}}{t_{/ \Gamma \Downarrow_{s t} \sigma \star \bar{b}_{/ \Gamma^{\prime}}} \text {. }}
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## Why3

- Platform for deductive program verification;
- WhyML: language for both specification and programming;
- Standard library:
- integer arithmetic,
- boolean operations,
- maps,
- etc.;
- Support of imperative traits:
- references,
- exceptions,
- while and for loops;
- Proof obligations are given to external theorem provers;
- Possibility to extract M/hyMI code to OCaml


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## Syntax

```
type term=
    | TTrue
    | TFalse
    | TFatal
    | TReturn term
    | TExit term
    | TAsString svar sexpr
    | TAsList lvar lexpr
    | TSeq term term
    | TIf term term term
    | TFor svar lexpr term
    | TWhile term term
    | TProcess term
    | TCall lexpr
    | TShift
    | TPipe term term
```


## Semantic judgments

```
inductive eval_term term context
                                string behaviour context
with eval_sexpr sexpr context
    string bool context
with eval_sfrag sfrag context
    string (option bool) context
with eval_lexpr lexpr context
    (list string) bool context
with eval_lfrag lfrag context
    (list string) (option bool) context
```


## A few rules - Sequence



```
eval_term t }\mp@subsup{t}{1}{}\Gamma\mp@subsup{\sigma}{1}{\prime}(\mathrm{ BNormal b}\mp@subsup{b}{1}{})\mp@subsup{\Gamma}{1}{}-
```



```
eval_term (TSeq t t t ) Г (concat }\mp@subsup{\sigma}{1}{}\mp@subsup{\sigma}{2}{})\mp@subsup{b}{2}{}\mp@subsup{\Gamma}{2}{
```

EvalT_Seq_Error
eval_term $t_{1}$ 「 $\sigma_{1} b_{1} \Gamma_{1} \rightarrow$
eval_term (TSeq $t_{1} t_{2}$ ) 「 $\sigma_{1} b_{1} \Gamma_{1}$

## A few rules - Sequence

```
| EvalT_Seq_Normal : forall t 
    eval_term t }\mp@subsup{t}{1}{}\Gamma\mp@subsup{\sigma}{1}{\prime}(\mathrm{ BNormal b}\mp@subsup{b}{1}{})\mp@subsup{\Gamma}{1}{}-
    eval_term th \Gamma
    eval_term (TSeq t t t ) Г (concat }\mp@subsup{\sigma}{1}{}\mp@subsup{\sigma}{2}{})\mp@subsup{b}{2}{}\mp@subsup{\Gamma}{2}{
```

| EvalT_Seq_Error : forall $t_{1} \Gamma \sigma_{1} b_{1} \Gamma_{1} t_{2}$.
eval_term $t_{1}\left\lceil\sigma_{1} b_{1} \Gamma_{1}\right.$->
(match $b_{1}$ with BNormal _ $->$ false | _ -> true end) ->
eval_term (TSeq $t_{1} t_{2}$ ) 「 $\sigma_{1} b_{1} \Gamma_{1}$

## A few rules－Branching

```
| EvalT_If_True : forall \(t_{1} \Gamma \sigma_{1} \Gamma_{1} t_{2} \sigma_{2} b_{2} \Gamma_{2} t_{3}\).
eval_term \(t_{1}\) 「 \(\sigma_{1}\) (BNormal True) \(\Gamma_{1}\)->
eval_term \(t_{2} \Gamma_{1} \sigma_{2} b_{2} \Gamma_{2}\)->
eval_term (TIf \(t_{1} t_{2} t_{3}\) ) Г (concat \(\left.\sigma_{1} \sigma_{2}\right) b_{2} \Gamma_{2}\)
```

EvalT_If_False : forall $t_{1} \Gamma \sigma_{1} b_{1} \Gamma_{1} t_{3} \sigma_{3} b_{3} \Gamma_{3} t_{2}$.
eval_term $t_{1}\left\lceil\sigma_{1} b_{1} \Gamma_{1}\right.$->
(match $b_{1}$ with BNormal False | BFatal -> true
eval_term $t_{3} \Gamma_{1} \sigma_{3} b_{3} \Gamma_{3}$->
eval_term (TIf $t_{1} t_{2} t_{3}$ ) 「 (concat $\left.\sigma_{1} \sigma_{3}\right) b_{3} \Gamma_{3}$
EvalT_If_Transmit : forall $t_{1} \Gamma \sigma_{1} b_{1} \Gamma_{1} t_{2} t_{3}$.
eval_term $t_{1}$ 「 $\sigma_{1} b_{1} \Gamma_{1}$->
(match $b_{1}$ with BReturn _ | BExit _ -> true | _ -> false end)
eval_term (TIf $t_{1} t_{2} t_{3}$ ) 「 $\sigma_{1} b_{1} \Gamma_{1}$

## A few rules - Branching

```
| EvalT_If_True : forall t }\mp@subsup{t}{1}{}\Gamma\mp@subsup{\sigma}{1}{}\mp@subsup{\Gamma}{1}{}\mp@subsup{t}{2}{}\mp@subsup{\sigma}{2}{}\mp@subsup{b}{2}{}\mp@subsup{\Gamma}{2}{}\mp@subsup{t}{3}{}
eval_term t \ | \sigma1 (BNormal True) Г \ ->
eval_term th \Gamma
eval_term (TIf tr tr th) Г (concat }\mp@subsup{\sigma}{1}{}\mp@subsup{\sigma}{2}{})\mp@subsup{b}{2}{}\mp@subsup{\Gamma}{2}{
```




```
    (match b with BNormal False | BFatal -> true | _ -> false end
    eval_term t }\mp@subsup{\mp@code{S}}{1}{}\mp@subsup{\sigma}{3}{}\mp@subsup{b}{3}{}\mp@subsup{\Gamma}{3}{}\mathrm{ ->
    eval_term (TIf th tr th) Г (concat }\mp@subsup{\sigma}{1}{}\mp@subsup{\sigma}{3}{})\mp@subsup{b}{3}{}\mp@subsup{\Gamma}{3}{
```

EvalT_If_Transmit : forall $t_{1} \Gamma \sigma_{1} b_{1} \Gamma_{1} t_{2} t_{3}$. eval_term $t_{1} \Gamma \sigma_{1} b_{1} \Gamma_{1} \rightarrow$ (match $b_{1}$ with BReturn _ | BExit _ $->$ true | _ $->$ false end) eval_term (TIf $\left.t_{1} t_{2} t_{3}\right) \Gamma \sigma_{1} b_{1} \Gamma_{1}$

## A few rules - Branching



```
    eval_term t \ 「 }\mp@subsup{\sigma}{1}{}\mathrm{ (BNormal True) 「1 ->
    eval_term th \Gamma
    eval_term (TIf tr tr th) Г (concat }\mp@subsup{\sigma}{1}{}\mp@subsup{\sigma}{2}{})\mp@subsup{b}{2}{}\mp@subsup{\Gamma}{2}{
| EvalT_If_False : forall t }\mp@subsup{t}{1}{}\Gamma\mp@subsup{\sigma}{1}{}\mp@subsup{b}{1}{}\mp@subsup{\Gamma}{1}{}\mp@subsup{t}{3}{}\mp@subsup{\sigma}{3}{}\mp@subsup{b}{3}{}\mp@subsup{\Gamma}{3}{}\mp@subsup{t}{2}{}
```



```
    (match b with BNormal False | BFatal -> true | _ -> false end
```



```
    eval_term (TIf th tr th) Г (concat }\mp@subsup{\sigma}{1}{}\mp@subsup{\sigma}{3}{})\mp@subsup{b}{3}{}\mp@subsup{\Gamma}{3}{
| EvalT_If_Transmit : forall t }\mp@subsup{t}{1}{}\Gamma\mp@subsup{\sigma}{1}{}\mp@subsup{b}{1}{}\mp@subsup{\Gamma}{1}{}\mp@subsup{t}{2}{}\mp@subsup{t}{3}{}
```



```
    (match b with BReturn _ | BExit _ -> true | _ -> false end)
```



## A few rules－Mutual recursion

```
| EvalT_AsString : forall s 「 \sigma \beta Г' Г', x .
eval_sexpr s 「 \sigma \beta 「' ->
\Gamma'' = update_senv 「' }\mp@subsup{\}{s}{}\sigma\mathrm{ ->
eval_term (TAsString xs s) 「 empty_string
    (if \beta then BNormal True else BFatal) 「',
```

EvalSF_Process : forall t 「 $\sigma \mathrm{b}$ 「'.
eval_term t $\Gamma \sigma \mathrm{b} \Gamma,->$
eval_sfrag_opt (SProcess t) 「 $\sigma$
(Some (match b with BNormal True | BReturn True | BExit Tr

## A few rules－Mutual recursion

```
| EvalT_AsString : forall s 「 \sigma \beta Г' Г', x .
    eval_sexpr s 「 \sigma \beta 「' ->
    \Gamma'' = update_senv 「' }\mp@subsup{\}{s}{}\sigma -
    eval_term (TAsString xs s) 「 empty_string
    (if \beta then BNormal True else BFatal) 「',
| EvalSF_Process : forall t 「 \sigma b 「'.
    eval_term t 「 \sigma b 「' ->
    eval_sfrag_opt (SProcess t) Г \sigma
    (Some (match b with BNormal True | BReturn True | BExit Tr 
    {\Gamma with c_fs = Г'.c_fs ; c_input = Г'.c_input}
```


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- CoLiS
- Mechanised version

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- Why?
- Let us see some code
- Proof
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3. A complete interpreter

- Which formulation?
- Heights and sizes
- Skeletons


## Why?

- For fun;
- Helps detecting the potential mistakes;
- We can compare the observational behaviour of our interpreter with known implementations of the POSIX Shell;
- It gives us a way to test an automated translation from Shell to CoLiS.


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## Spirit of the code

- Set of mutually recursive functions;
- ML-style with imperative traits;
- Fatal, Return _ and Exit _ are exceptions;
- stdout is a reference.

with interp_sfrag_aux ( $f_{s}$ : sfrag) ( $:$ context) (previous: bool) (string, bool, context)


## Spirit of the code

- Set of mutually recursive functions;
- ML-style with imperative traits;
- Fatal, Return _ and Exit _ are exceptions;
- stdout is a reference.

```
exception EFatal context
exception EReturn (bool,context)
exception EExit (bool,context)
```

let rec interp_term (t: term) ( $\Gamma$ : context)
(stdout: ref string) : (boole, context)
with interp_sexpr_aux (s: sexpr) (Г: context) (previous: bool)
: (string, boole, context)
with interp_sfrag_aux ( $f_{s}$ : sfrag) (Г: context) (previous: bool)
: (string, boole, context)

## Body - Sequence and branching

```
let rec interp_term (t: term) (\Gamma: context)
    (stdout: ref string) : (bool, context)
=
match t with
```

    | TSeq \(t_{1} t_{2} \rightarrow\)
    let \(\left({ }_{-}, \Gamma_{1}\right)=\) interp_term \(t_{1} \Gamma\) stdout in
    interp_term \(t_{2} \Gamma_{1}\) stdout
    TIf
let
try
interp_term $t_{1}$ 「 stdout
with
F.Fatal $\Gamma_{1} \rightarrow\left(\right.$ false,$\left.\Gamma_{1}\right)$
end
in
interp_term (if $b_{1}$ then $t_{2}$ else $t_{3}$ ) 「1 stdout

## Body - Sequence and branching

```
let rec interp_term (t: term) (\Gamma: context)
    (stdout: ref string) : (bool, context)
=
match t with
    | TSeq t t t t >
    let (_, \Gamma1) = interp_term t 
    interp_term t2 \Gamma s stdout
    | TIf trll
    let ( }\mp@subsup{b}{1}{},\mp@subsup{\Gamma}{1}{})
        try
            interp_term tr 「 stdout
        with
            EFatal \Gamma
        end
    in
    interp_term (if b}\mp@subsup{b}{1}{}\mathrm{ then }\mp@subsup{t}{2}{}\mathrm{ else t t) 「 }\mp@subsup{\Gamma}{1}{}\mathrm{ stdout
```


## Body－Mutual recursion

```
let rec interp_term (t: term) (\Gamma: context)
    (stdout: ref string) : (bool, context)
=
match t with
| TAsString xs s ->
    let (\sigma, b, 「') = interp_sexpr s 「 in
    let 「', = update_senv 「' xs }\sigma\mathrm{ in
    if b then (true, Г'') else raise (EFatal Г',')
```



## Body－Mutual recursion

```
let rec interp_term (t: term) (\Gamma: context)
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    let 「', = update_senv 「' xs \sigma in
    if b then (true, Г'') else raise (EFatal Г'')
with interp_sfrag_aux (fs: sfrag) (\Gamma: context) (previous: bool)
                        : (string, bool, context)
=
    match fs with
    | SProcess t ->
        let ( }\sigma,\textrm{b},\textrm{fs, input) = interp_process t 「 in
        (\sigma, b, {\Gamma with c_fs = fs; c_input = input})
```


## Soundness of the interpreter

We write $t / \Gamma \mapsto \sigma \star b_{/ \Gamma^{\prime}}$ for: "on the input consisting of $t, \Gamma$ and a reference, the interpreter writes $\sigma$ at the end of that reference and terminates:

- normally and outputs $\left(b, \Gamma^{\prime}\right)$;
- with an exception corresponding to the behaviour b that carries $\Gamma^{\prime}$."


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- normally and outputs ( $b, \Gamma^{\prime}$ );
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Theorem (Soundness of the interpreter)
For all $t, \Gamma, \sigma, b$ and $\Gamma^{\prime}$ : if

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t_{/ \Gamma} \mapsto \sigma \star b_{/ \Gamma^{\prime}}
$$

then

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t_{/ \Gamma} \Downarrow \sigma \star b_{/ \Gamma^{\prime}}
$$

## Contract

```
let rec interp_term (t: term) (\Gamma: context)
(stdout: ref string) : (bool, context)
diverges
returns { (b, Г') -> exists \sigma.
    !stdout = concat (old !stdout) \sigma
    /\ eval_term t 「 \sigma (BNormal b) 「' }
```


！stdout＝concat（old ！stdout）$\sigma$八 eval＿term t 「 $\sigma$（Return b）


## Contract

```
let rec interp_term (t: term) (\Gamma: context)
    (stdout: ref string) : (bool, context)
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returns { (b, 「') -> exists \sigma.
    !stdout = concat (old !stdout) \sigma
    \\ eval_term t 「 \sigma (BNormal b) 「' }
raises { EFatal 「' -> exists \sigma.
    !stdout = concat (old !stdout) \sigma
    \\ eval_term t 「 \sigma BFatal 「' }
```

raises \{ EReturn (b, 「') -> exists $\sigma$.
!stdout = concat (old !stdout) $\sigma$
八 eval_term t 「 $\sigma$ (BReturn b) 「, \}
raises \{ EExit (b, 「') -> exists $\sigma$.
!stdout $=$ concat (old !stdout) $\sigma$
八 eval_term t 「 $\sigma$ (BExit b) 「, \}

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## Why it is hard

- stdout is a reference

- Usual fix: provide a witness as a ghost return value.
- Cannot work here because of exceptions: we would need to catch them all and all the time!


## Never mind, there are provers based on superposition, let's use them.

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## But it works!

- 117 proof obligations;
- 190s on my machine;
- Uses Alt-Ergo, Z3 and E (crucial);
- No Coq proof.


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## An other sound interpreter

```
let rec interp_term (t: term) (\Gamma: context)
    (stdout: ref string) : (bool, context)
    diverges
    returns { (b, Г') -> exists \sigma.
        !stdout = concat (old !stdout) \sigma
        \ eval_term t \Gamma \sigma (BNormal b) 「, }
=
    while true do
        ()
    done
```


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## Completeness of the interpreter

Theorem (Completeness of the interpreter)
For all $t, \Gamma, \sigma, b$ and $\Gamma^{\prime}$ : if

$$
t_{/ \Gamma} \Downarrow \sigma \star b_{/ \Gamma}
$$

then

$$
t / \Gamma \mapsto \sigma \star b_{/ \Gamma^{\prime}}
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- We have the soundness,
- We can prove functionality of the predicate,
- Thanks to them, we can prove the termination,
- All of that gives us the completeness.


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## Completeness of the interpreter - In Why3?

Theorem (Completeness of the interpreter)
For all $t, \Gamma, \sigma, b$ and $\Gamma^{\prime}$, if:

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$$
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In Why3

- We have the soundness, but we can't use it in the termination,
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## Functionality and termination

Theorem (Functionnality of the predicate)
For all $t, \Gamma, \sigma_{1}, \sigma_{2}, b_{1}, b_{2}, \Gamma_{1}, \Gamma_{2}$, if:

$$
t_{/ \Gamma} \Downarrow \sigma_{1} \star b_{1 / \Gamma_{1}} \quad \text { and } \quad t_{/ \Gamma} \Downarrow \sigma_{2} \star b_{2 / \Gamma_{2}}
$$

then:

$$
\sigma_{1}=\sigma_{2} \quad \text { and } \quad b_{1}=b_{2} \quad \text { and } \quad \Gamma_{1}=\Gamma_{2}
$$

Theorem (Termination of the interpreter)
For all $t, \Gamma, \sigma, b, \Gamma^{\prime}$, if:

$$
t_{/ \Gamma} \Downarrow \sigma \star b_{/ \Gamma^{\prime}}
$$

then the interpreter terminates when given $t, \Gamma$ and a reference.

Why we need the soundness and the functionality in the proof of termination

Case of the sequence (with non-exceptional behaviours):
| TSeq $t_{1} t_{2}->$
let (_, $\Gamma_{1}$ ) = interp_term $t_{1} \Gamma$ stdout in
interp_term $t_{2} \Gamma_{1}$ stdout

We know that:


But we need to say that that $\Gamma^{\prime}$ is in fact $\Gamma_{1}$
Hence the need for the soundness and the functipnality.g.

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We know that:

$$
\exists \sigma b \Gamma^{\prime \prime} . \quad\left(t_{1} ; t_{2}\right)_{/ \Gamma \Downarrow} \Downarrow \star b_{/ \Gamma^{\prime \prime}}
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let (_, $\Gamma_{1}$ ) = interp_term $t_{1} \Gamma$ stdout in interp_term $t_{2} \Gamma_{1}$ stdout

We know that:

$$
\begin{aligned}
& \exists \sigma b \Gamma^{\prime \prime} .\left(t_{1} ; t_{2}\right)_{/ \Gamma} \Downarrow \sigma \star b_{/ \Gamma^{\prime \prime}} \\
& \wedge\left(\exists \sigma^{\prime} b^{\prime} \Gamma^{\prime} .\right. t_{1 / \Gamma} \Downarrow \sigma^{\prime} \star b^{\prime} / \Gamma^{\prime} \\
& \wedge t_{2 / \Gamma^{\prime} \Downarrow \sigma \star b_{/ \Gamma^{\prime \prime}}} \wedge \\
&\left.\wedge b^{\prime} \in\{\text { True, False }\}\right)
\end{aligned}
$$

But we need to say that that $\Gamma^{\prime}$ is in fact $\Gamma_{1}$
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Why we need the soundness and the functionality in the proof of termination

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Why we need the soundness and the functionality in the proof of termination

Case of the sequence (with non-exceptional behaviours):
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\end{aligned}
$$

But we need to say that that $\Gamma^{\prime}$ is in fact $\Gamma_{1}$. Hence the need for the soundness and the functionality.

## What do we need，then？

```
let rec interp_term (t: term) (\Gamma: context)
    (stdout: ref string) : (bool, context)
requires { exists \sigma b Г'. eval_term t 「 \sigma b 「' }
variant { ... }
returns { (b, 「') -> exists \sigma.
    !stdout = concat (old !stdout) }
    \\ eval_term t 「 \sigma (BNormal b) 「' }
```


## What do we need，then？

```
let rec interp_term (t: term) (\Gamma: context)
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    !stdout = concat (old !stdout) }
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```

Now the question is：what variant are we going to use？

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## Let us find a variant

Terms are structurally decreasing?


Proofs are structurally decreasing? True, but we can't manipulate them in Why3.

## Can we use the height or the size of the proof tree?

## Let us find a variant

Terms are structurally decreasing? Wrong.

$$
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Why it does not work - 1

Superposition provers are bad with arithmetic.

## Patch: replace it with simple successor arithmetic. <br> But we would still need to talk about: <br> - addition and subtraction (for sizes); <br> - maximum and inequalities (for heights).

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When we know the size of a proof, we cannot deduce from it the size of the proofs of the premises.

Patch: return the "unused" size.

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Why it does not work - 3

## We cannot deduce from the height of a proof the heights of the premises (only an upper bound).

## Patch: use inequalities in the pre- and post-conditions or in the predicate.

But it means more work:

- to define the pre and post-conditions or the predicate;
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## Table of Contents

1. Language

- Elements of Shell
- CoLiS
- Mechanised version

2. A sound interpreter

- Why?
- Let us see some code
- Proof
- An other sound interpreter

3. A complete interpreter

- Which formulation?
- Heights and sizes
- Skeletons


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We still want to say that proofs are structurally decreasing.

We add a skeleton type:

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## Put them everywhere－In the predicate

```
inductive eval_term term context
                            string behaviour context skeleton =
| EvalT_Seq_Normal : forall t \ \Gamma \sigma
    eval_term t \ 「 \sigma1 (BNormal b b ) Г \ sk1 ->
    eval_term t2 \Gamma
    eval_term (TSeq t1 t2) 「 (concat }\mp@subsup{\sigma}{1}{}\mp@subsup{\sigma}{2}{})\mp@subsup{b}{2}{}\mp@subsup{\Gamma}{2}{}(S2\mathrm{ sk1 sk2)
| EvalT_Seq_Error : forall t }\mp@subsup{t}{1}{}\Gamma\mp@subsup{\sigma}{1}{}\mp@subsup{b}{1}{}\mp@subsup{\Gamma}{1}{}\mp@subsup{t}{2}{}\mathrm{ sk.
    eval_term t \ 「 }\mp@subsup{\sigma}{1}{}\mp@subsup{b}{1}{}\mp@subsup{\Gamma}{1}{}\mathrm{ sk ->
    (match b with BNormal _ -> false | _ -> true end) ->
    eval_term (TSeq tr th) Г \sigma 有 汶 (S1 sk)
```


## Put them everywhere - In the contract

```
let rec interp_term (t: term) (\Gamma: context)
    (stdout: ref string) (ghost sk: skeleton)
    : (bool, context)
requires { exists s b g'. eval_term t g s b g' sk }
variant { sk }
returns { (b, Г') -> exists \sigma.
    !stdout = concat (old !stdout) }
    /\ eval_term t 「 \sigma (BNormal b) 「' sk }
```


## Define some helpers

```
let ghost skeleton12 (sk: skeleton)
requires { match sk with
    | S1 _ | S2 _ _ -> true
    | _ -> false
    end }
ensures { match sk with
    | S1 sk1 | S2 sk1 _ -> result = sk1
    | _ -> false
    end }
= match sk with
    | S1 sk1 | S2 sk1 _ -> sk1
    | _ -> absurd
    end
```


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The following:
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## Put them everywhere－In the code

```
| TSeq tr tr ->
let ghost sk1 = skeleton12 sk in
let (_, \Gamma1) = interp_term t \Gamma stdout sk1 in
let ghost (_, sk2) = skeleton2 sk in
interp_term t2 \Gamma ( stdout sk2
```

    let ghost sk1 = skeleton12 sk in
    interp_term \(t_{1}\) 「 stdout sk1
    with
EFatal 「' -> (false, 「')
end
in
let ghost (_, sk2) = skeleton2 sk in
interp term (if $b_{1}$ then $t_{2}$ else $t_{3}$ ) $\Gamma_{1}$ stcout sk2

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    let ghost (_, sk2) = skeleton2 sk in
    interp_term t2 \Gamma ( stdout sk2
| TIf tr tr th ->
    let ( }\mp@subsup{b}{1}{},\mp@subsup{\Gamma}{1}{})
    try
        let ghost sk1 = skeleton12 sk in
        interp_term t1 「 stdout sk1
    with
        EFatal 「' -> (false, Г')
    end
    in
    let ghost (_, sk2) = skeleton2 sk in
    interp_term (if b}\mp@subsup{b}{1}{}\mathrm{ then t2 else t3) Г }\mp@subsup{|}{1}{}\mathrm{ stdout sk2
```


## And it's all green!



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- 233 proof obligations;
- 510s on my machine;
- Uses Alt-Ergo, Z3 and E;
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## Other things about skeletons

- Generalisable, if we want more than the shape;
- Help in writing recursion in case of mutually recursive types (because there is now a common structurally decreasing value);
- Can really be added automatically to inductive predicates;
- Works because:
- the order of the premises is the order of the execution,
- the proof tree looks pretty much like the recursive calls tree.


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## Thank you for your attention!

Questions? Comments? Suggestions?

R Claude Marché, Nicolas Jeannerod and Ralf Treinen
A Formally Verified Interpreter for a Shell-like Programming Language VSTTE, July 2017

